Under the Paperwork Reduction Act of 1994, no persons are required to respond to a collection of information unless it displays availd OMB control number

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

AMINOALCOHOLS

ADDRESS TO:

First Named Inventor: Tsunetoshi HONMA et al.

Title: NOVEL PROCESSES FOR THE PREPARATION OF BICYCLIC

Express Mail Label No .:

Attorney Docket No.: 2004-0301

Commissioner for Patents P.O. Box 1450

1. [X] Fee Transmittal Form

(Submit an original, and a duplicate for fee processing)

2. [] Small Entity Status is hereby asserted.

3. [X] Specification

[Total Pages: 45]

(preferred arrangement set forth below)

- Descriptive title of the Invention Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- **Detailed Description**
- Claim(s)
- Abstract of the Disclosure

[Total Sheets:]

5. [X] Oath or Declaration

4. [] Drawing(s) (35 USC 113)

[Total Pages: 3]

- a.1. [] Newly executed (original or copy)
 - a.2. [] Unexecuted [X] Copy from a prior application(37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
 - i. [] DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- 6. [] Application Data Sheet (see 37 CFR 1.76)

Alexandria, VA 22313-1450 7. [] CD-ROM or CD-R in duplicate, large table or computer

program (Appendix)

- 8. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. [] Computer Readable Form
 - b. Specification Sequence Listing on:
 - i. [] CD-ROM or CD-R (2 copies); or
 - ii. [] Paper
 - c. [] The paper and computer readable copies are identical

ACCOMPANYING APPLICATION PARTS

- [] Assignment Papers (cover sheet & document(s))
- [] 37 CFR 3.73(b) Statement (when there is an assignee)
 - [] Power of Attorney
- 11. [] English Translation Document (if applicable)
 - [X] Information Disclosure Statement (IDS)/PTO-1449 [] Copies of IDS Citations
- 13. [X] Preliminary Amendment
- 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized
- 15. [] Certified Copy of Priority Document(s)

(if foreign priority is claimed)

- 16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17. [X] Other Claim of Priority

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

[] Continuation

[X] Divisional

[] Continuation-in-part (CIP)

of prior application No. 10/019,670, January 2, 2002

Prior Application Information Examiner Brian J. Davis

Group Art Unit 1621

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 🚯, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

Registration No. 25,134

2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250

February 25, 2004

THE COMMISSIONER IS AUTHORIZED

TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

WENDEROTH, LIND & PONACK, L.L.P.

13281 U.S. F.I

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tsunetoshi HONMA et al.

Serial No. NEW : Attn: APPLICATION BRANCH

Filed February 25, 2004

Attorney Docket No. 2004-0301

NOVEL PROCESSES FOR THE PREPARATION OF BICYCLIC AMINOALCOHOLS (Rule 1.53(b) Divisional of Serial No. 10/019,670, Filed January 2, 2002)

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

Sir:

Attached hereto is a check in the amount of \$942.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) Divisional application\$770.00

Additional Claims Fee:

Independent \$172.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Tsunetoshi HONMA et al.

y: ////

Registration No. 25,134

Michael R. Davis

Attorney for Applicants

MRD/pth WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006-1021 Telephone (202) 721-8200 February 25, 2004

[Check No. 60394

2004-0301